



**BACKGROUND
SCREENING REPORT**

P.O. Box 458
New Port Richey, FL 34656
Local: (727) 807-2179 Fax: (800) 952-6477
Toll Free: (800) 273-7638
www.applicantinsight.com

SUBJECT NAME: Consumer, Jonathan
SOCIAL SECURITY #: 548-60-3388

LOCATION: Client Demonstration Account
CLIENT ID: C0249-00-0000
REQUEST DATE: 06/01/03
REFERENCE CODE:

Confidential Information Enclosed

Information in this Consumer Report must be held in utmost confidence and there must be strict procedures maintained by the recipient to secure information against unauthorized third party access or use.

Information contained in this report is obtained from sources deemed reliable, though the accuracy of the information is not guaranteed. Human error in compiling this information is possible. Reasonable procedures will be followed to ensure the accuracy of information and reinvestigation will be conducted upon request. The Fair Credit Reporting Act requires specific procedures if adverse action is taken, in whole, or in part based upon the information contained in this report.

NASD License Search Results

Information Provided	Information Verified
CRD Number: 123456789	123456789
State: FL	FL
License Type: NASD	NASD
License Status:	Active
Disciplinary Actions:	Yes

Violation Date:	05/20/1998
Type:	U4
State:	Florida
Issue:	Alleged failure to respond to division's request for information following application to sell securities in SC.
Action Imposed:	07/01/98 - Applicant is not to reapply for registration in SC.

Examination Date:	01/15/1998
Type:	Series 7
Passed:	Yes

Comments:
